

PLUS Search Results for S/N 10601122, Searched Fri Jul 20 10:36:45 EDT 2007

The Patent Linguistics Utility System (PLUS) is a USPTO automated search system for U.S. Patents from 1971 to the present PLUS is a query-by-example search system which produces a list of patents that are most closely related linguistically to the application searched. This search was prepared by the staff of the Scientific and Technical Information Center, SIRA.

20040103053 99	20060100948 25
20040199449 99	
20050209949 87	
20060184446 55	
20070136181 55	
20010056391 44	
20060031152 43	
20060080218 38	
20020013731 38	
20050160027 36	
20030078870 36	
20050216384 36	
20060143099 36	
20020198804 33	
6173270 33	
6269346 33	
6862579 32	
20030014337 32	
7089191 32	
20030144888 32	
6161096 32	
20050165669 32	
20050010518 31	
6321212 29	
20020178101 29	
6016483 28	
6263321 28	
20020065755 28	
20030110107 28	
20030220861 28	
20050160034 28	
20050261998 28	
20070016503 28	
20070043640 28	
20070112661 28	
20060036531 28	
20020042771 28	
20020194136 28	
20040172349 28	
20050192875 28	
20050137967 27	
6411939 26	
20050144117 26	
5799287 25	
6418417 25	
20030208430 25	
20040039620 25	
20050027634 25	
20050149423 25	

Titles of most frequently occurring classifications of patents returned  
from a search of 10601122 on Jul 20, 2007

- 20 705/36R (18 OR, 2 XR)  
Class 705 DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,  
OR COST/PRICE DETERMINATION  
705/1 .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR  
MANAGEMENT ARRANGEMENT  
705/35 ..Finance (e.g., banking, investment or credit)  
705/36R ...Portfolio selection, planning or analysis
- 19 705/35 (12 OR, 7 XR)  
Class 705 DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,  
OR COST/PRICE DETERMINATION  
705/1 .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR  
MANAGEMENT ARRANGEMENT  
705/35 ..Finance (e.g., banking, investment or credit)
- 15 705/37 (11 OR, 4 XR)  
Class 705 DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,  
OR COST/PRICE DETERMINATION  
705/1 .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR  
MANAGEMENT ARRANGEMENT  
705/35 ..Finance (e.g., banking, investment or credit)  
705/37 ...Trading, matching, or bidding
- 5 705/1 (0 OR, 5 XR)  
Class 705 DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,  
OR COST/PRICE DETERMINATION  
705/1 .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR  
MANAGEMENT ARRANGEMENT
- 4 705/38 (2 OR, 2 XR)  
Class 705 DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,  
OR COST/PRICE DETERMINATION  
705/1 .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR  
MANAGEMENT ARRANGEMENT  
705/35 ..Finance (e.g., banking, investment or credit)  
705/38 ...Credit (risk) processing or loan processing (e.g.,  
mortgage)
- 4 705/36T (0 OR, 4 XR)  
Class 705 DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,  
OR COST/PRICE DETERMINATION  
705/1 .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR  
MANAGEMENT ARRANGEMENT  
705/35 ..Finance (e.g., banking, investment or credit)  
705/36R ...Portfolio selection, planning or analysis  
705/36T ....Tax strategies
- 3 705/4 (2 OR, 1 XR)  
Class 705 DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,  
OR COST/PRICE DETERMINATION  
705/1 .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR  
MANAGEMENT ARRANGEMENT  
705/4 ..Insurance (e.g., computer implemented system or method for  
writing insurance policy, processing insurance claim, etc.)
- 2 705/26 (0 OR, 2 XR)  
Class 705 DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,  
OR COST/PRICE DETERMINATION  
705/1 .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR

MANAGEMENT ARRANGEMENT  
705/26

..Electronic shopping (e.g., remote ordering)

2 705/39 (1 OR, 1 XR)

Class 705 DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,  
OR COST/PRICE DETERMINATION

705/1 .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR  
MANAGEMENT ARRANGEMENT

705/35 ..Finance (e.g., banking, investment or credit)

705/39 ...Including funds transfer or credit transaction

2 705/7 (1 OR, 1 XR)

Class 705 DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,  
OR COST/PRICE DETERMINATION

705/1 .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR  
MANAGEMENT ARRANGEMENT

705/7 ..Operations research

(20040103053  
20040199449  
20050209949  
20060184446  
20070136181  
20010056391  
20060031152  
20060080218  
20020013731  
20050160027  
20030078870  
20050216384  
20060143099  
20020198804  
6173270  
6269346  
6862579  
20030014337  
7089191  
20030144888  
6161096  
20050165669  
20050010518  
6321212  
20020178101  
6016483  
6263321  
20020065755  
20030110107  
20030220861  
20050160034  
20050261998  
20070016503  
20070043640  
20070112661  
20060036531  
20020042771  
20020194136  
20040172349  
20050192875  
20050137967  
6411939  
20050144117  
5799287  
6418417  
20030208430  
20040039620  
20050027634  
20050149423  
20060100948  
) .pn.

10601122\_QUAL.txt

20040103053	99
20040199449	99
20050209949	87
20060184446	55
20070136181	55
20010056391	44
20060031152	43
20060080218	38
20020013731	38
20050160027	36
20030078870	36
20050216384	36
20060143099	36
20020198804	33
6173270	33
6269346	33
6862579	32
20030014337	32
7089191	32
20030144888	32
6161096	32
20050165669	32
20050010518	31
6321212	29
20020178101	29
6016483	28
6263321	28
20020065755	28
20030110107	28
20030220861	28
20050160034	28
20050261998	28
20070016503	28
20070043640	28
20070112661	28
20060036531	28
20020042771	28
20020194136	28
20040172349	28
20050192875	28
20050137967	27
6411939	26
20050144117	26
5799287	25
6418417	25
20030208430	25
20040039620	25
20050027634	25
20050149423	25
20060100948	25

10601122\_CLS.txt  
Most frequently occurring classifications of patents returned  
from a search of 10601122 on Jul 20 , 2007

Original Classifications

18	705/36R
12	705/35
11	705/37
2	705/4
2	705/38

Cross-Reference Classifications

7	705/35
5	705/1
4	705/37
4	705/36T
2	705/36R
2	705/26
2	705/38

Combined Classifications

20	705/36R
19	705/35
15	705/37
5	705/1
4	705/38
4	705/36T
3	705/4
2	705/26
2	705/39
2	705/7

## 10601122\_LIST.txt

10601122

PLUS Search Results for S/N 10601122 Searched Jul 20, 2007.  
The Patent Linguistic Utility System (PLUS) is a USPTO automated search system for U.S. Patents from 1971 to present. PLUS is a query-by-example search system which produces a list of patents that are most closely related linguistically to the application searched. This search was prepared by the staff of the Scientific and Technical Information Center, SIRA.

20040103053  
20040199449  
20050209949  
20060184446  
20070136181  
20010056391  
20060031152  
20060080218  
20020013731  
20050160027  
20030078870  
20050216384  
20060143099  
20020198804  
6173270  
6269346  
6862579  
20030014337  
7089191  
20030144888  
6161096  
20050165669  
20050010518  
6321212  
20020178101  
6016483  
6263321  
20020065755  
20030110107  
20030220861  
20050160034  
20050261998  
20070016503  
20070043640  
20070112661  
20060036531  
20020042771  
20020194136  
20040172349  
20050192875  
20050137967  
6411939  
20050144117  
5799287  
6418417  
20030208430  
20040039620  
20050027634  
20050149423  
20060100948

able 2  
above 2  
abstract 1  
accommodate 1  
accounting 4  
accurate 1  
accurately 1  
actual 1  
addition 4  
address 7  
addresses 1  
adjusted 1  
adjusting 1  
affecting 2  
after 7  
allow 2  
although 1  
american 4  
amsterdam 1  
analysis 3  
analysts 1  
announcements 1  
annual 1  
any 2  
application 2  
applications 2  
art 1  
ashenfelter 1  
associated 1  
assume 2  
assumes 2  
assumptions 1  
attempt 2  
attempts 1  
authors 1  
average 1  
averse 2  
aversion 6  
background 2  
backward 1  
based 7  
basis 1  
becomes 1  
before 3  
behavior 10  
believed 1  
below 2  
benefit 1  
benefits 1  
biggest 1  
binomial 5  
black 8  
blackout 1  
block 1  
both 1  
boundary 1  
brief 2  
bureau 1  
but 2  
calibrate 4  
calibrated 2  
calibrates 1  
calibrating 2



calibration 3  
call 1  
can 13  
cannot 1  
card 1  
carpenter 2  
cause 1  
certain 2  
certainty 2  
character 1  
chosen 1  
claims 1  
cliff 1  
coca 1  
cola 1  
common 1  
companies 2  
company 7  
compared 3  
compensation 7  
component 1  
comprehensive 1  
compute 1  
computer 3  
conclusion 1  
consequence 1  
consequently 2  
consider 1  
considerations 1  
constant 1  
continuing 1  
contrasted 1  
correctly 1  
cost 8  
costly 1  
costs 2  
course 1  
cross 1  
cure 1  
curve 1  
date 6  
dates 1  
debate 1  
decade 1  
december 3  
decision 1  
decisions 3  
decrease 1  
deep 1  
deficiencies 1  
deficiency 1  
departure 1  
derivatives 1  
describing 1  
description 1  
design 2  
designed 2  
detail 1  
determine 4  
determined 1  
determines 1  
determining 2  
develop 1

developed 1  
 develops 2  
 diagram 1  
 differences 1  
 different 2  
 differentiate 3  
 directed 1  
 discussed 3  
 distribution 2  
 diversified 2  
 diversify 1  
 dividend 1  
 dramatically 1  
 drawback 1  
 drawings 1  
 duration 1  
 durations 1  
 during 1  
 earlier 1  
 early 4  
 economic 3  
 economics 5  
 eds 1  
 effect 3  
 effective 1  
 effects 2  
 either 3  
 elaborate 1  
 electric 1  
 else 1  
 emerged 1  
 empirical 2  
 employee 13  
 employees 11  
 enables 1  
 enabling 1  
 entirety 1  
 equal 2  
 equivalent 2  
 error 1  
 eso 26  
 esos 50  
 especially 1  
 essentially 1  
 eto 1  
 etos 11  
 european 4  
 evolves 1  
 example 1  
 exceeds 1  
 exception 2  
 exchange 3  
 executive 4  
 executives 4  
 exemplary 1  
 exercise 35  
 exercised 6  
 exercises 1  
 exercising 1  
 exists 1  
 exogenous 1  
 expected 8  
 expense 1

expenses 1  
expiration 3  
expires 2  
expiring 2  
explicitly 1  
extended 1  
extension 1  
factors 2  
failing 1  
fails 1  
fall 1  
far 2  
feature 3  
features 17  
few 1  
field 1  
filed 1  
financial 4  
firm 6  
firms 2  
first 2  
fiscal 1  
fitting 1  
flexibility 1  
forced 3  
forfeit 3  
forfeited 1  
forfeiture 15  
form 1  
formula 1  
formulas 1  
four 2  
framework 1  
future 1  
general 1  
give 2  
given 2  
graded 2  
grant 2  
granted 2  
greatly 1  
grounds 1  
hall 6  
handbook 1  
hedge 1  
heightened 1  
hence 2  
her 3  
hereby 1  
high 2  
his 1  
holder 3  
holders 1  
holland 1  
how 1  
huddart 3  
importance 1  
important 1  
improvement 1  
inc 1  
incentive 2  
include 4  
including 3

incorporated 1  
 incorporates 1  
 incorrect 1  
 increase 2  
 increased 1  
 increases 2  
 index 2  
 indexed 4  
 induction 1  
 initially 1  
 input 1  
 inputs 2  
 instead 1  
 interest 1  
 intrinsic 3  
 inversely 1  
 investor 1  
 investors 1  
 johnson 3  
 joint 2  
 journal 7  
 jun 1  
 key 2  
 know 1  
 kulatilaka 3  
 labor 2  
 lambert 3  
 lang 1  
 larcker 1  
 large 1  
 last 1  
 least 1  
 leave 1  
 leaving 2  
 less 6  
 level 2  
 levels 2  
 life 2  
 limitations 2  
 liquidity 1  
 literature 2  
 lower 1  
 make 3  
 manner 1  
 marcus 2  
 market 3  
 maximization 2  
 maximize 2  
 may 2  
 measures 6  
 meet 1  
 mentioned 1  
 method 4  
 methodology 1  
 methods 1  
 minimum 1  
 minus 1  
 model 28  
 models 11  
 money 2  
 months 2  
 more 2  
 most 2

murphy 8  
must 2  
national 1  
necessary 1  
net 1  
neutral 2  
node 1  
non 10  
nontraditional 1  
nor 1  
normal 1  
north 1  
noted 2  
novel 1  
november 1  
number 2  
numerous 1  
obligation 1  
observed 7  
obtain 1  
occurs 3  
once 1  
one 1  
only 7  
opposed 1  
optimal 3  
option 22  
options 21  
ordinary 2  
out 1  
output 1  
outside 2  
over 4  
overcome 2  
overstate 1  
overstated 1  
pages 6  
paper 1  
parameter 2  
parameters 4  
particular 1  
patent 1  
pay 1  
percent 3  
percentage 1  
performance 4  
period 2  
perspective 3  
place 1  
plans 4  
pointed 1  
poorly 2  
portfolio 2  
portion 1  
possibility 4  
practicing 1  
predict 1  
predicted 1  
predicts 1  
prescribed 1  
preset 1  
price 20  
prices 1

pricing 2  
principles 1  
prior 1  
probabilities 2  
probability 4  
problems 1  
produce 1  
produced 1  
program 2  
programs 2  
proper 1  
providing 2  
provisional 1  
provisions 1  
purchase 1  
purchased 3  
quarter 1  
random 1  
ratio 2  
reality 1  
reasons 2  
receives 1  
recent 1  
reduce 1  
reduces 1  
reference 2  
referred 1  
reflect 4  
reflects 3  
related 5  
relates 1  
remainder 1  
report 1  
reported 2  
reporting 1  
representation 1  
repriceable 4  
require 2  
required 2  
requirements 2  
research 2  
reset 2  
restrictions 1  
result 1  
results 3  
retention 1  
review 1  
right 1  
rigorous 1  
risk 12  
rubinstein 4  
schedule 1  
scholes 8  
second 1  
see 5  
seem 1  
sensible 2  
several 2  
shareholders 1  
shares 2  
she 4  
short 1  
shortcoming 1

shortly 2  
 show 3  
 shown 1  
 shows 2  
 simple 1  
 single 1  
 six 1  
 solving 1  
 spring 1  
 standard 4  
 stated 2  
 steps 1  
 stock 17  
 strike 9  
 substantially 2  
 sum 1  
 summary 1  
 system 4  
 ten 1  
 tend 6  
 term 1  
 termed 1  
 terminal 3  
 them 6  
 three 1  
 through 1  
 tian 3  
 tied 1  
 time 7  
 too 2  
 top 1  
 traded 6  
 traditional 7  
 traditionally 1  
 transferability 2  
 treated 1  
 tree 4  
 two 2  
 type 3  
 types 1  
 typically 3  
 unable 2  
 under 2  
 underlying 2  
 undiversified 1  
 unique 3  
 unlike 6  
 used 5  
 user 1  
 uses 1  
 using 2  
 usually 5  
 utility 3  
 valuation 9  
 valuations 1  
 value 31  
 valued 2  
 values 1  
 valuing 4  
 variety 1  
 vary 2  
 verrecchia 1  
 very 1

10601122\_WDS.txt

vest 3  
vested 5  
vesting 18  
vests 1  
vol 5  
volatilities 1  
volatility 2  
water 2  
way 1  
wealth 5  
well 1  
wide 1  
working 1  
worth 2  
worthless 2  
year 3  
years 5  
yet 1  
yields 1